# Unimodal Stopping Model-Based Early SKIP Mode **Decision for High-Efficiency Video Coding**

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Abstract—High-efficiency video coding (HEVC) can greatly improve coding efficiency compared with the prior video coding standard H.264/AVC by adopting advanced hierarchical coding structures such as coding unit (CU), prediction unit (PU), and transform unit. For each CU, an exhaustive mode decision strategy is adopted to achieve the best rate distortion (RD) cost, which simultaneously results in enormous computational complexity. In this paper, an early SKIP mode decision algorithm is proposed for the HEVC encoder to speed up the process of mode decision. Each CU size is categorized into either rare used or frequent used by exploiting the correlation of CU depth, which is estimated from the temporally colocated CUs. For the rare-used CU size, the SKIP mode is directly selected as the optimal mode and the remaining mode decision process is early terminated. For the frequent-used CU size, a unimodal stopping model is designed for its early SKIP mode decision by exploiting both hierarchical mode structure and RD cost property. Experimental results show that the proposed early SKIP mode decision method achieves average 58.5% and 54.8% encoding time savings, while the Bjontegaard Delta bit rate only increases average 0.8% and 0.8% for various test sequences under the random access and the low delay B conditions, respectively.

Index Terms-High efficiency video coding (HEVC), mode decision, SKIP mode, unimodal stopping model (USM).

## I. INTRODUCTION

HE increasing demand of high-resolution video services requires more transmission bandwidth due to the huge amount of video data. To address this demand, High Efficiency Video Coding (HEVC) has been standardized by Joint Collaborative Team on Video Coding (JCT-VC) as the most recent video

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coding standard [1]. To improve the video coding efficiency, HEVC adopts many advanced coding tools such as large block size, asymmetric motion partitioning (AMP), etc. Among them, hierarchical coding structure is very flexible in which each coding unit (CU) block have prediction unit (PU) blocks of symmetric and asymmetric sizes and transform unit (TU) blocks of quad-tree partitions. This flexible coding structure contributes a significant coding gain over the prior video coding standard H.264/AVC [2]. However, the coding tree unit (CTU) with 64  $\times$  64 pixels should be recursively split into four CUs until the smallest CU (SCU) of  $8 \times 8$  pixels, in which an exhaustive mode decision has to be computed for each CU in rate-distortion optimization (RDO) sense. Thus, the flexibility of hierarchical block partitioning imposes significant computational burden on HEVC encoder to seek the optimal combinations of CU, PU and TU sizes by RDO. This is actually a bottleneck of HEVC to hinder its real-time video applications. It is highly desirable to reduce the computational complexity of HEVC encoder while simultaneously maintaining the coding efficiency without great degradation of perceptual video quality [3].

During past decades, there are lots of fast mode decision (FMD) algorithms presented for H.264/AVC and its extensions [4]–[10]. They exploit many advanced techniques including RD cost correlation [4], [5], [7], [9], spatio-temporal correlations [6], optimal stopping model [8], macroblock position constraint model [10] and so on. In addition, several early SKIP/DIRECT mode decision algorithms have been proposed for multi-view video coding (MVC) by exploiting RD correlation, inter-view correlation and mode homogeneity [11]–[15]. However, these techniques can not be directly extended to HEVC due to its more flexible hierarchical structure of coding units.

In recent years, a few FMD algorithms were proposed to speed up the HEVC encoder [16]–[29]. They can be roughly divided into three types. The first type exploits some encoding parameters such as coded block flag (CBF), sample adaptive offset (SAO) and residual distortion [16]-[18]. For instance, a FMD approach was proposed by using the available CBFs of all luminance and chrominance components [16]. If the CBF value of current PU mode is equal to zero, the reminding mode decision process is early terminated. The second type speeds up the mode decision by exploiting RD cost or spatial-temporal and inter-level mode correlation [19]-[21]. The third type adopts hybrid model analysis strategy for FMD [22]-[29]. For example, an adaptive mode ordering strategy was proposed by exploiting both RD cost and bit cost statistics [22].

The SKIP mode is an efficient coding tool for HEVC [32]. It represents a coded block data without motion searching and 1520-9210 © 2017 IEEE. Personal use is permitted, but republication/redistribution requires IEEE permission.

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residual coding since its transform coefficients are all quantized to zero. That is, the SKIP mode does not need time-consuming motion estimation (ME) [30], [31], [35]. Meanwhile, it is the dominant mode at high QPs (low bitrates), especially for static or texture-smooth regions. The most representative FMD schemes with early SKIP mode decision are summarized as follows. In [32], an early SKIP mode decision method was proposed by using the CBF and Motion Vector Difference (MVD) of Inter  $2N \times 2N$  mode. In [33], the RD correlation between the SKIP mode and the 2N  $\times$  2N Merge mode is exploited to select an adaptive threshold for early SKIP mode decision. However, its saving of encoding time is limited since it puts emphasis on coding quality. In [34], an early Merge mode decision scheme was proposed by exploiting the hierarchical depth correlation and motion estimation of Inter  $2N \times 2N$  mode. However, it only exploits the hierarchical depth correlation between depth level "0" and other levels. If the optimal mode of depth level "0" is not the Merge mode, it can not exploit the hierarchical depth correlation for other depth levels. In addition, for the larger CU sizes, which are rare-used in motion-complex regions, the early SKIP mode decision is not fully exploited as well [32]–[34].

We believe that there is still some space left for early SKIP mode decision to further reduce the computational complexity. Firstly, most existing early SKIP mode decision approaches only use the RD cost or the mode correlation between the SKIP and Inter 2N  $\times$  2N mode. That is, when the SKIP mode is the optimal mode, they do not efficiently early terminate the checking of other modes. Secondly, larger CU sizes for complex motion regions and hierarchical mode correlation are also not fully exploited. This motivates us to propose an early SKIP mode decision method for HEVC by exploiting CU depth level correlation, hierarchical mode structure and RD cost property. Specifically, the contributions are three-folds: 1) each CU size is firstly categorized into either rare-used or frequent-used by exploiting the CU depth level correlation, which is estimated from two temporally co-located CUs. 2) for rare-used CU size, the SKIP mode is directly selected as the optimal mode to maximize the reduction of computational cost. 3) for frequent-used CU size, a novel unimodal stopping model (USM) is established by considering both mode hierarchy and RD cost to early predict whether the SKIP mode is the optimal mode or not.

The rest of this paper is organized as follows. Motivations and some statistical analyses are given in Section II. Section III presents the proposed early SKIP mode decision algorithm. Experimental results are reported in Section IV, and conclusion is made in Section V.

#### II. MOTIVATION AND STATISTICAL ANALYSES

## A. Distributions and Correlation of CU Depth Levels

Fig. 1 is the quad-tree coding structure of CU. By recursively partitioning of CTU into SCUs, the hierarchical depth varies from 0 to 3 to adapt to diverse video contents. That is, videos with diverse motion activities have different distribution of CU sizes (or depth levels). For the CUs in static or texture-smooth regions, lower CU depth levels are always selected. For the CUs in motion-complex regions, they usually select larger CU depth levels. To characterize the distribution of CU depth levels, three



Fig. 1. CU and PU structures of HEVC encoders.

TABLE I Test Conditions

Search range	64
CTU size	64
Depth level range	From "0" to "3"
Configuration	Random Access (RA)
Number of encoded frames	61
Basis quantization parameter (QP)	20, 25, 30, 35

typical video sequences with different motion activities and spatial resolutions are tested under the conditions summarized in Table I. BasketballPass ( $416 \times 240$ ) sequence has fast motion, BQMall ( $832 \times 480$ ) sequence has a moderate motion and Johnny ( $1280 \times 720$ ) sequence has relatively slow motion. Fig. 2 compares their distributions of CU depth levels. From it, we observe that different videos have quite different distributions of CU depth levels. The possibility of selecting depth 2 and depth 3 is about 10% for slow motion video, whereas it is about 34% for videos with medium or fast motions. This implies that depth 0 and depth 1 are not the optimal depth levels for those CUs in motion-complex regions. If we can early decide whether a CU depth level is suitable or not for different regions, and the exhaustive mode decision processing can be avoided by early skipping the rest levels to reduce the computational complexity.

In addition, video sequences have strong temporal correlations among neighboring frames. Fig. 3 shows the example of the reference structure of random access in which the group of picture (GOP) size is 8. To analyze the temporal correlation, the probability of depth level difference  $P_{DD=i}$  is defined as follows:

$$P_{DD=i} = \frac{N_{DD=i}}{\sum_{i=0}^{3} N_{DD=i}}$$
(1)

$$DD = |d_{cur} - d_{pre}|, DD \in \{0, 1, 2, 3\}$$
(2)



Fig. 2. CU depth levels distribution of three video sequences: (a) Basketballpass, (b) BQMall, and (c) Johnny.



Fig. 3. Reference structure of random access.

where  $d_{cur}$  and  $d_{pre}$  are the depth levels of the current CU and its co-located CU in previously encoded frame, respectively. Suppose current frame and the temporally co-located frames have the same QP values. If  $f_{t-2}$  is the current frame whose QP value is QP+2, the QP value of the frame  $f_{t-6}$ , which is defined as the nearest neighboring encoded frame, is also equal to QP+2. DD is the depth level difference between the current CU and its nearest co-located CU.  $N_{DD=i}$  represents the number of CUs whose DD is equal to i under the test conditions summarized in Table I. The statistical results of  $P_{DD=i}$  are summarized in Table II. From it,  $P_{DD=0}$  is about 76.2% on average, while the sum of  $P_{DD=0}$  and  $P_{DD=1}$  is up to 96.2%. Therefore, we can conclude from Table II that since the depth level correlation between current CU and its temporally co-located CU is extremely high, the CU depth levels of the co-located CUs in encoded frame should be exploited to predict the depth levels of the CUs in current frame.

### B. Distributions and RD Cost of PU Mode

HEVC encoder supports eleven prediction modes including SKIP/Merge, Inter\_2N  $\times$  2N, Inter\_N  $\times$  2N, Inter\_2N  $\times$  N, Inter\_N  $\times$  N (available for the minimum CU), Inter\_2N  $\times$  nU, Inter\_2N  $\times$  nD, Intern\_L  $\times$  2N, Intern\_R  $\times$  2N, Intra\_2N  $\times$ 2N and Intra\_N  $\times$  N (available for the minimum CU). Among them, the SKIP mode is always performed before checking other modes in the process of mode decision. This mechanism is similar with the SKIP mode decision of H.264/AVC, which

TABLE II PROBABILITY OF DEPTH LEVEL DIFFERENCE (DD) BETWEEN TWO ADJACENT FRAMES

Sequences	QP	DD = 0	DD = 1	DD = 2	DD = 3	$DD \leq 1$
Basketballpass	20	74.8	21.8	2.9	0.5	96.6
(416 × 240)	25	78.8	17.5	3.2	0.5	96.3
	30	79.6	16.8	3.2	0.4	96.4
	35	81.6	15.7	2.4	0.3	97.3
	ave.	78.7	18.0	2.9	0.4	96.7
BQMall	20	56.4	37.0	6.1	0.4	93.4
(832 × 480)	25	63.9	29.6	5.8	0.6	93.5
	30	68.4	25.4	5.5	0.6	93.8
	35	71.9	22.7	4.9	0.5	94.6
	ave.	65.2	28.7	5.6	0.5	93.9
Johnny	20	69.1	26.5	4.1	0.3	95.6
$(1280 \times 720)$	25	84.5	13.9	1.5	0.1	98.4
	30	90.6	8.4	0.9	0.1	99.0
	35	94.4	4.8	0.6	0.1	99.2
	ave.	84.6	13.4	1.8	0.2	98.0
ave.		76.2	20.0	3.4	0.4	96.2



Fig. 4. Mode distribution of three video sequences: (a) Basketballpass, (b) BQMall, and (c) Johnny.

does not need computation-intensive ME to obtain motion vector and residual signal. That is, the SKIP mode of HEVC can obtain desirable coding gain with low computational complexity. It is reasonable to early determine whether the SKIP mode is the optimal mode or not for a CU.

We further analyze the probability of SKIP mode when it is selected as the optimal mode. Three video sequences "Basketballpass", "BQMall" and "Johnny" are tested with the same conditions summarized in Table I. Fig. 4 reports their mode distributions. From it, we observe that most CUs select the SKIP mode as the optimal mode. For video sequences with fast or medium motion such as "Basketballpass" and "BQMall", the percentages are about 63% and 56%, respectively. For video sequence with low motion such as "Johnny", up to 76.7% CUs are encoded with the SKIP mode. The inherent reason is that static and texture-homogeneous regions prevail in natural videos, which are most likely to choose the SKIP mode as the optimal mode.



Fig. 5. Relationship between RD cost  $(J_m)$  and mode under SKIP mode is the optimal mode.

Thus, if we can design a stopping model to for early SKIP mode decision, it will early terminate the mode decision process to save coding time.

HEVC adopts an exhaustive mode decision strategy for each CU via RDO, and the RD cost function  $(J_m)$  is computed as

$$J_m = SSE + \lambda \cdot B_{mode} \tag{3}$$

where SSE is the sum of squared error (SSE) between the current CU and its reconstructed CU,  $B_{mode}$  is the bit cost and  $\lambda$  is the Lagrangian multiplier. To achieve the least RD cost for each CU, the optimal mode decision function can be defined as

$$\hat{m} = \operatorname*{argmin}_{m \in \mathcal{M}} \{J_m\}$$
(4)

where  $J_m$  is the RD cost of the mode m, which is computed by (3). M represents all candidate modes, and  $\hat{m}$  is the optimal mode to be selected for the current CU. From (4), we know that if mode m is selected as the optimal mode,  $J_m$  has the minimum value of RD cost. If the SKIP mode is the optimal mode, there exists one situation as shown in Fig. 5, which depicts the relationship between the RD cost  $J_m$  and the mode m. From it, we observe that compared with the SKIP mode, there is a significant increase of RD costs for the Merge mode, the Inter\_2N × 2N and others modes. Therefore, we can firstly check the SKIP mode for a CU, and then check the Merge mode and the Inter\_2N × 2N mode. If the RD costs of the Merge mode and the Inter\_2N × 2N mode increase as the tendency shown in Fig. 5, the others modes can be skipped to reduce the encoding time.

#### **III. PROPOSED EARLY SKIP MODE DECISION ALGORITHM**

### A. Early SKIP Mode Decision for Rare-Used CU Sizes

Motivated by the analysis in Section II-A, each CU size is firstly categorized into rare-used or frequent-used based on the depth level predicted for the current CU. For the rare-used CU size, the SKIP mode is directly selected as the optimal mode to avoid unnecessary variable-size ME process. From Table II, there are only 76.2% CUs on average whose depth level is equal to the temporally co-located and encoded CUs. If the depth level of co-located CU is directly used to predict the depth level of the current CU, the prediction error is not negligible. Moreover, the kind of prediction error might also be accumulated. To reduce



Fig. 6. Correlation between current CU and temporally co-located CUs.

the prediction error, two co-located CUs of nearest neighboring frames are exploited to predict the depth level of the current CU, as shown in Fig. 6. Let  $D_0^s$  and  $D_1^s$  be the maximum depth levels of two previously neighboring CUs in the intervals of  $t_{pre0}$  and  $t_{pre1}$ , respectively. The predicted depth level  $D_p^s$  of current CU size is calculated as

$$D_{p}^{s} = w \cdot D_{0}^{s} + (1 - w) \cdot D_{1}^{s}$$
(5)

$$\int 0, \quad \text{CU size with } 64 \times 64$$

$$\int = \begin{cases} 1, & \text{CU size with } 32 \times 32 \end{cases}$$

where s denotes the CU size and w is the weighting factor. In general, the closer is the neighboring CU to current CU, the larger w should be assigned. That is, w has a strong temporal correlation, which is defined as follows:

$$w = \frac{|t_{cur} - t_{pre1}|}{|t_{cur} - t_{pre0}| + |t_{cur} - t_{pre1}|}$$
(7)

where  $t_{cur}$ ,  $t_{pre0}$  and  $t_{pre1}$  are the current interval and two neighboring encoded intervals, respectively. And the nearest neighboring frames in the intervals  $t_{pre0}$  and  $t_{pre1}$  are encoded with QP and QP-1, respectively. Especially, if it does not exist the encoded frame with QP-1, the encoded frame with QP+1 is chosen as the neighboring frame. The basic unit of intervals is assumed to be 1 here. In terms of  $D_p^s$ , current CU is classified as either frequent-used or rare-used as follows:

$$CU \in \begin{cases} rare-used, & D_p^s > s + TH \\ frequent-used, & otherwise \end{cases}$$
(8)

where s is size of the current CU and TH is a threshold to be adjusted. In this paper, we only consider the CU sizes of  $64 \times 64$  and  $32 \times 32$  for the current CU to decide whether they are frequent-used or rare-used, and the other CU sizes are defined as frequent-used. Since the probability that the absolute depth level difference is less than or equal to 1 is more than 96%, the threshold TH is set as 1. Let  $D_p^0$  and  $D_p^1$  be the prediction depth of current CU with size  $64 \times 64$  and  $32 \times 32$ , respectively. When s is equal to 0 and  $D_p^0$  is bigger than 1, the CU size with  $64 \times 64$  is defined as rare-used. Similarly, if s is equal to 1 and  $D_p^1$  is bigger than 2, the CU size with  $32 \times 32$  is also defined as rare-used. For a CU with complex motion, the larger CU sizes is seldom selected as the optimal CU sizes. If the SKIP mode is early determined for those CUs in the regions with complex motion, it can save the coding time by skipping variable-sized ME.

 TABLE III

 MODE TYPES AND THEIR CORRESPONDING MODES

 Types N
 1
 2
 3
 4

 mode
 SKIP
 Merge
 Inter.2N × 2N
 Others

## B. Unimodal Stopping Model (USM) Based Early SKIP Mode Decision

1) Optimal Stopping Model for Mode Decision: In [38], an optimal stopping problem is formulated by a sequence of random variables and a sequence of real-valued reward functions. A decision-maker sequentially checks these variables to obtain the observed value and finds a time to stop by maximizing the expected reward. Moreover, an optimal stopping model is introduced for the early mode decision of H.264/SVC [8]. Firstly, the probabilities of all N candidate modes are ranked in a descending order

$$p_i \ge p_j \ \forall i, j \in [1, N], i < j. \tag{9}$$

Then, the optimal stop mode is defined as

$$K_* = \max\{K_\alpha, K_\beta\} \tag{10}$$

where

$$K_{\alpha} = \min\left\{k \ge 1 : \sum_{i=1}^{k} p_i \sum_{j=k}^{N} \frac{1}{\sum_{r=1}^{j} p_r} > \tau - k\right\}$$
(11)

$$K_{\beta} = \min\left\{k \ge 1 : p_{k+1} \sum_{j=k+1}^{N} \frac{1}{\sum_{r=1}^{j} p_r} \le 1\right\}$$
(12)

where  $\tau \epsilon [N, N + 1]$  is a constraint threshold. A larger  $\tau$  means a better decision performance. However, the optimal stopping model of H.264/SVC in [8] are not suitable for the early mode decision of HEVC because HEVC supports quite different coding units. In this paper, an optimal stopping model is proposed for the early SKIP mode decision by considering the characteristic of coding units and different coding modes for HEVC.

2) Unimodal Property: Table III summarizes the mode types and the corresponding modes. From (3) and (4), since  $J_m$  only has one minimum value,  $J_m$  can be regarded as a unimodal function of m. Thus,  $J_m$  is written as

$$\exists m, m^* \in [1, N], J_m - J_{m^*} > 0 \tag{13}$$

where N represents the type of mode,  $m^*$  is the optimal mode type and m is the mode type except  $m^*$ .

According to (13), if  $m^*$  is selected as the optimal mode, the RD costs of other modes must be larger than that of the mode  $m^*$ . That is, the RD cost of the mode  $m^*(J_{m^*})$  is the unimodal point. However, the unimodal point is found by an exhaustive mode decision process. To reduce the computational complexity, we exploit the hierarchical mode structure and the RD cost property to establish a unimodal stopping model (USM), so as to early predict whether the RD cost of the mode  $(J_{m^*})$  is the minimum value or not.

*a) RD cost-based USM:* If the SKIP mode is chosen as the optimal mode, the RD cost can be expressed by

$$\forall m \in [2, N], J_m - J_1 > 0 \tag{14}$$

where  $J_m$  is the RD cost of mode m. Fig. 7(a) and 7(b) show two cases of the relationship between the RD cost and the mode type when the SKIP mode is selected as the optimal mode. Please note that there is a strong linear relationship between  $J_m$  and m, which can be expressed by

$$J_m = a \cdot m + c \tag{15}$$

where the symbols a and c are the variable parameters. If the SKIP mode is the optimal mode, a is a positive value and  $J_m$  is a monotonic increasing trend function of m. In other words, if (15) is confirmed to be a monotonic increasing trend function, the SKIP mode will be selected as the optimal mode. Thus, the USM is established by combining (14) and (15) for early SKIP mode decision. To achieve a good trade-off between time reduction and coding performance, the RD costs of three modes including SKIP, Merge and Inter2N × 2N are firstly checked and used to verify whether the USM is met or not. Then, the condition of early SKIP mode decision is rewritten as

$$\forall m \in [2,3], J_m - J_1 > 0. \tag{16}$$

b) Hierarchical mode and RD cost property-based USM: It is claimed that there is a hierarchical correlation for the Merge mode [34], [39]. If the Merge mode is selected as the optimal mode for the parent CU, its children CUs are also more likely to be encoded with the Merge mode. Similarly, if the parent CU is encoded with the SKIP mode, its children CUs have a high probability to be encoded with the SKIP mode. Thus, the condition of early SKIP mode decision in (16) should further consider the hierarchical correlation of mode among parent CU and its children CUs. We only consider the mode information of the parent CU whose optimal mode is the SKIP mode. Then, we define the SKIP mode of the parent CU as P\_SKIP to distinguish from the SKIP mode of the children CU. As shown in Fig. 7(c), if the optimal mode of the parent CU is SKIP mode, and the RD cost of the SKIP mode of the children CU is less than that of the Merge mode, the mode decision for the children CU can be early terminated. Thus, (16) is rewritten as

$$P_SKIP = SKIP \mod \&\&J_2 - J_1 > 0.$$
 (17)

#### C. The Proposed Overall Algorithm

In Subsections *A* and *B*, two early SKIP mode decision strategies are presented for rare-used and frequent-used CUs, respectively. Fig. 8 is the overall flowchart of the proposed early SKIP mode decision algorithm for HEVC. The main steps are summarized as follows.

- 1) Start mode decision for CUs in the inter-frames.
- Compute D<sup>s</sup><sub>p</sub> using (5). If the current CU size is rare-used, go to step 3, otherwise go to step 4.
- Check the SKIP mode only, and the other modes are skipped.
- If the parent CU of current CU is encoded with the SKIP mode, go to step 5, otherwise go to step 6.



Fig. 7. Relationship between the RD cost and the type of prediction modes when the SKIP mode is optimal prediction mode.



Fig. 8. Flowchart of the proposed early SKIP mode decision method.

- 5) Judge between the SKIP mode and the Merge mode. If the current CU meets (17), the SKIP mode is early determined and the other modes can be skipped. Otherwise, check the rest modes.
- 6) Make decision among the SKIP, Merge and Inter2N × 2N modes. If the RD cost of the current CU meets (16), the SKIP mode can be early determined and the rest modes are skipped. Otherwise, check the rest modes.
- 7) Determine the optimal mode for the current CU.

## IV. EXPERIMENTAL RESULTS AND ANALYSES

### A. Test Conditions

To verify the validity of the proposed early SKIP mode decision approach, it is integrated into the HEVC reference software HM12.0 for experiments. The common test conditions of HEVC are adopted under the random access (RA) and low-delay B (LD B) configurations, respectively [36]. The search range is 64 for both horizontal and vertical directions. The size of CTU is  $64 \times 64$ , and correspondingly its depth is 4. QPs are 22, 27, 32, and 37. Fast encoder decision, fast decision for Merge, and transform skip are enabled. The performance is evaluated in terms of Bjonteggrad Delta BitRate (BDBR) [37] and Time Saving (TS). TS is defined as follows:

$$TS = \frac{T_{HM} - T_{Proposed}}{T_{HM}} \times 100\%$$
(18)

where  $T_{HM}$  and  $T_{Proposed}$  are the total encoding times of the original reference software HM and the proposed approach integrated into HM, respectively.

## B. Results of Individual Algorithms Compared With Original HM

Table IV reports the experimental results of the proposed early SKIP mode decision method. Since two strategies are adopted for rare-used CUs and frequent-used CUs, which are denoted by Proposed1 and Proposed2 (actually USM), respectively. Therefore, their contributions to early SKIP mode decision are also separately provided for observation. From Table IV, the Proposed1 method achieves 11.1% total encoding time saving with 0.3% BDBR increase on average. Especially for video sequences with fast motions, it greatly reduces the total encoding time with only negligible BDBR loss compared with videos with slow motions. The reasons are as follows. For video sequences with fast motions, they usually have more complex motion regions in which large CUs are more likely to be the rare-used CUs. Thus, the SKIP mode can be early terminated for them. On contrary, for slow motion videos with more static background regions, there are less rare-used CUs of large CU sizes, which limits the encoding time saving. For example, the encoding time is reduced only about 1% for Johnny sequence with low motion. From Table IV, we can also observe that the USM based early SKIP mode decision method achieves about 47% encoding time reduction with only 0.6% BDBR increase on average. Moreover, it achieves much more encoding time reduction for slow motion videos, simply because there are more static regions which are more likely to choose the SKIP mode as the optimal mode. The proposed overall approach totally achieves 58.5% encoding time saving with 0.8% BDBR increase on average, respectively. That is, it effectively reduces the encoding time for video sequences with diverse motions.

		Propos	ed1	Propos	ed2	Propo	sed
Resolution	Sequences	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)
Class A	PeopleOnstreet	0.3	22.7	0.6	27.1	0.8	50.0
$(2560 \times 1600)$	Traffic	0.4	8.8	0.4	59.0	0.7	66.7
Class B	Kimono	0.3	4.5	0.4	47.9	0.7	52.7
$(1920 \times 1080)$	ParkScene	0.3	9.5	0.5	55.1	0.8	63.5
	Cactus	0.2	8.8	0.4	48.9	0.6	57.6
	BasketballDrive	0.5	8.1	0.6	44.5	1.1	52.8
	BQTerrace	0.2	8.3	1.0	57.0	1.1	64.2
Class C	BasketballDrill	0.5	13.2	0.4	37.0	0.8	50.1
(832 × 480)	BQMall	0.4	12.5	0.7	47.4	1.0	59.3
	PartyScene	0.2	16.2	0.7	39.7	0.7	54.9
	RaceHorseC	0.4	17.4	0.8	26.9	1.1	44.4
Class D	BasketballPass	0.2	18.2	0.5	31.5	0.8	49.7
$(416 \times 240)$	BQSquare	0.1	9.8	0.9	51.9	0.8	61.0
	Blowingbubbles	0.1	14.3	0.7	42.3	0.7	55.8
	RaceHorses	0.3	20.8	1.0	25.5	1.3	46.1
Class E	FourPeople	0.2	3.8	0.1	69.9	0.3	73.6
$(1280 \times 720)$	Johnny	0.2	1.2	0.2	75.2	0.4	76.6
	KristenAndSara	0.2	2.2	0.3	71.0	0.5	73.4
	Average	0.3	11.1	0.6	47.7	0.8	58.5

TABLE IV PERFORMANCE OF THE PROPOSED EARLY SKIP MODE DECISION UNDER THE RA CONDITION

 TABLE V

 Comparisons Among the Proposed Method and Existing Works Under the RA Condition

		PanTB	[34]	ESD [	32]	ESD+CBF+ECU [16], [29], [32]		Proposed	
Resolution Sequences	Sequences	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)
Class A	PeopleOnstreet	0.4	21.5	0.4	20.8	2.4	32.5	0.8	50.0
$(2560 \times 1600)$	Traffic	0.3	45.6	0.2	41.5	2.4	64.4	0.7	66.7
Class B	Kimono	0.2	35.0	0.3	35.1	1.5	52.3	0.7	52.7
$(1920 \times 1080)$	ParkScene	0.3	41.7	0.3	39.8	1.9	60.2	0.8	63.5
	Cactus	1.6	41.4	0.3	35.1	2.6	53.4	0.6	57.6
	BasketballDrive	0.4	32.7	0.3	32.8	1.5	48.9	1.1	52.8
	BQTerrace	0.6	44.7	0.3	41.3	2.4	62.0	1.1	64.2
Class C	BasketballDrill	0.6	31.3	0.3	28.0	1.3	42.2	0.8	50.1
(832 × 480)	BQMall	0.8	38.8	0.4	34.4	2.6	52.7	1.0	59.3
	PartyScene	0.7	33.2	0.3	29.4	2.0	44.5	0.7	54.9
	RaceHorseC	0.6	20.9	0.3	20.3	2.0	31.4	1.1	44.4
Class D	BasketballPass	1.4	26.4	0.2	23.6	2.1	36.1	0.8	49.7
$(416 \times 240)$	BQSquare	1.2	45.2	0.3	38.5	1.8	57.3	0.8	61.0
	Blowingbubbles	0.8	35.2	0.2	30.4	2.0	46.7	0.7	55.8
	RaceHorses	0.7	20.3	0.4	18.9	2.5	30.3	1.3	46.1
Class E	FourPeople	0.1	62.3	0.1	50.3	0.9	75.9	0.3	73.6
$(1280 \times 720)$	Johnny	0.6	64.0	0.1	53.9	0.6	81.1	0.4	76.6
	KristenAndSara	0.4	58.7	0.2	50.8	0.9	76.8	0.5	73.4
	Average	0.7	38.8	0.3	34.7	1.9	52.7	0.8	58.5

## *C.* Comparisons Among the Proposed Approaches and the State-of-the-Art Algorithms

To verify the validity of the proposed approach, six state-ofthe-art algorithms are selected as benchmarks for comparisons under the RA and LD B conditions, respectively. The selected benchmarks include PanTB [34], ESD [32], combination of ESD+CBF+ECU [16], [29], [32], ShenTCSVT [19], ShenTMM [23] and AhnTCSVT [17]. To make fair comparisons, all these existing algorithms and the proposed approach are compared with the original HM12.0. Please note that LeeTB [33] is not selected for comparison because it puts emphasis on coding quality, and its reduction of computational complexity is almost equal to that of ESD [32]. Tables V and VI summarizes the experimental results of PanTB [34], ESD [32], ESD+CBF+ECU [16], [29], [32] and the proposed algorithm under the RA and LD B conditions, respectively. From Table V, we can observe that PanTB [34] achieves 38.8% encoding time saving with 0.7% BDBR increase on average. Compared with the PanTB [34] method, the proposed method further reduces 19.7% encoding time while maintaining similar RD performance. The reasons

		PanTB	[34]	ESD [	32]	ESD+CBF+EC	CU [16], [29], [32]	Propos	sed
Resolution	Sequences	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%
Class A	PeopleOnstreet	0.3	18.7	0.3	16.8	1.3	25.9	0.5	47.2
$(2560 \times 1600)$	Traffic	0.5	41.6	0.3	37.5	1.5	56.5	0.9	63.5
Class B	Kimono	0.4	31.1	0.3	29.0	1.2	43.4	0.6	46.8
$(1920 \times 1080)$	ParkScene	0.6	38.1	0.3	34.1	1.5	51.2	0.8	60.2
	Cactus	2.2	37.8	0.4	30.2	1.8	45.5	0.9	53.4
	BasketballDrive	0.3	30.1	0.4	28.4	1.0	42.1	0.7	49.2
	BQTerrace	0.6	40.8	0.3	36.0	1.3	54.4	0.9	60.3
Class C	BasketballDrill	0.6	27.2	0.4	23.7	1.0	35.2	0.8	47.0
(832 × 480)	BQMall	0.8	33.3	0.4	28.8	1.5	43.4	0.9	55.9
	PartyScene	0.8	26.4	0.4	22.6	1.1	33.6	0.7	47.9
	RaceHorseC	0.5	18.9	0.3	17.2	1.0	25.9	0.8	42.7
Class D	BasketballPass	1.1	23.1	0.4	19.7	1.1	30.0	0.7	48.3
$(416 \times 240)$	BQSquare	1.3	33.1	0.5	28.3	1.2	42.3	0.8	50.4
	Blowingbubbles	1.0	28.7	0.4	23.7	1.4	35.5	0.8	50.1
	RaceHorses	0.5	17.3	0.4	15.3	1.1	23.5	0.7	44.1
Class E	FourPeople	0.6	57.9	0.4	46.5	1.3	70.3	0.8	71.9
$(1280 \times 720)$	Johnny	1.5	62.2	0.6	51.5	1.3	77.2	0.8	76.0
	KristenAndSara	1.1	54.9	0.5	47.1	1.1	70.8	1.1	71.2
	Average	0.8	34.5	0.4	29.8	1.3	44.8	0.8	54.8

 TABLE VI

 COMPARISONS AMONG THE PROPOSED METHOD AND EXISTING WORKS UNDER LD B CONDITION

 TABLE VII

 COMPARISONS AMONG THE PROPOSED METHOD AND EXISTING WORKS UNDER RA CONDITION

Resolution Se		ShenTCSV	/T [19]	ShenTM	M [23]	AhnTCSV	T [17]	Propo	sed
	Sequences	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)
Class A	PeopleOnstreet	0.2	42.5	4.0	23.3	0.9	26.9	0.8	50.0
$(2560 \times 1600)$	Traffic	1.1	60.5	2.1	44.1	0.8	61.6	0.7	66.7
Class B	Kimono	1.0	47.3	0.4	31.2	1.3	58.2	0.7	52.7
$(1920 \times 1080)$	ParkScene	0.9	45.2	1.0	33.7	1.2	52.6	0.8	63.5
	Cactus	1.0	42.1	3.2	40.6	2.8	56.8	0.6	57.6
	BasketballDrive	1.0	41.8	1.4	28.7	2.0	50.9	1.1	52.8
	BQTerrace	1.1	49.7	1.2	35.7	1.6	54.4	1.1	64.2
Class C	BasketballDrill	2.1	39.8	5.2	34.1	1.9	45.2	0.8	50.1
(832 × 480)	BQMall	1.6	40.0	2.9	28.8	2.2	48.6	1.0	59.3
	PartyScene	1.1	45.8	2.5	27.0	0.8	37.7	0.7	54.9
	RaceHorseC	1.8	38.5	2.0	17.7	2.2	33.9	1.1	44.4
Class D	BasketballPass	1.8	28.9	2.2	16.7	1.5	33.6	0.8	49.7
$(416 \times 240)$	BQSquare	0.4	34.4	0.4	22.9	0.6	45.1	0.8	61.0
	Blowingbubbles	1.3	33.7	2.6	23.4	0.7	38.2	0.7	55.8
	RaceHorses	1.8	24.4	2.1	12.8	1.1	26.6	1.3	46.1
Class E	FourPeople	1.4	66.3	2.9	56.9	1.7	74.1	0.3	73.6
$(1280 \times 720)$	Johnny	0.9	67.8	1.0	57.8	1.3	75.7	0.4	76.6
	KristenAndSara	1.3	62.5	2.7	51.8	1.2	73.1	0.5	73.4
	Average	1.2	45.1	2.2	32.6	1.4	49.6	0.8	58.5

behind this are two-folds. First, the proposed approach reduces more coding time for motion-complex regions, benefiting from the large CU sizes which are rare-used for fast SKIP mode decision. Second, the hierarchical modes at different depth levels are further exploited. Meanwhile, compared with ESD [32], the proposed method also achieves more encoding time saving with less BDBR loss. The ESD+CBF+ECU [16], [29], [32] method achieves 52.7% encoding time reduction with 1.9% BDBR increment on average. The proposed method also reduces more encoding time and achieve better RD performance. From Table VI, the proposed method also outperforms the existing similar algorithms including (PanTB [34], ESD [32] and ESD+CBF+ECU [16], [29], [32]) under LD B condition in terms of the balanced performance of both encoding time reduction and BDBR loss.

Tables VII and VIII compare the proposed algorithm with ShenTCSVT [19], ShenTMM [23] and AhnTCSVT [17] under the RA and LD B conditions, respectively. ShenTCSVT [19] is a fast mode decision algorithm, ShenTMM [23] is a fast CU size decision algorithm and AhnTCSVT [17] includes both early SKIP mode decision and fast CU size decision. The performances of these algorithms are reported by comparing them with the original HM12.0, respectively. From Table VII,

	TABLE VIII	
PERFORMANCE COMPARISON OF PROPOSED	METHOD WITH RECENT WORKS UNDER LD B COND	ITION

		ShenTCSV	/T [19]	ShenTM	M [23]	AhnTCSVT [17]		Proposed	
Resolution	Sequences	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)	BDBR(%)	TS(%)
Class A	PeopleOnstreet	0.4	32.5	2.2	19.9	1.5	28.0	0.5	47.2
$(2560 \times 1600)$	Traffic	1.0	54.7	2.0	41.3	2.0	41.7	0.9	63.5
Class B	Kimono	0.8	38.0	0.3	27.8	0.8	47.9	0.6	46.8
$(1920 \times 1080)$	ParkScene	0.8	40.5	0.9	28.9	1.1	48.3	0.8	60.2
	Cactus	0.5	43.5	3.1	36.4	2.2	48.0	0.9	53.4
	BasketballDrive	0.8	42.3	1.0	25.7	1.2	42.6	0.7	49.2
	BQTerrace	1.3	42.7	1.8	32.2	0.3	47.5	0.9	60.3
Class C	BasketballDrill	1.9	44.0	1.3	38.5	2.0	37.0	0.8	47.0
$(832 \times 480)$	BQMall	2.0	43.5	2.2	25.3	1.2	40.9	0.9	55.9
	PartyScene	1.0	40.0	3.3	25.1	0.4	33.0	0.7	47.9
	RaceHorseC	1.0	34.1	1.1	14.0	1.1	26.1	0.8	42.7
Class D	BasketballPass	1.5	35.4	1.6	14.8	1.2	27.2	0.7	48.3
$(416 \times 240)$	BQSquare	0.4	36.0	0.4	44.3	0.2	38.8	0.8	50.4
	Blowingbubbles	2.2	39.7	2.4	22.0	0.3	31.5	0.8	50.1
	RaceHorses	0.7	30.8	1.0	12.9	0.7	21.2	0.7	44.1
Class E	FourPeople	1.1	64.0	2.4	47.8	1.6	65.6	0.8	71.9
$(1280 \times 720)$	Johnny	1.3	66.9	2.0	56.0	-0.3	73.9	0.8	76.0
	KristenAndSara	1.4	60.9	2.8	48.5	0.5	69.6	1.1	71.2
	Average	1.1	43.9	1.8	31.2	1.0	42.7	0.8	54.8

TABLE IX OVERALL PERFORMANCE OF THE DIFFERENT FAST METHODS

	RA	A	LD B		
Methods	BDBR (%)	TS (%)	BDBR (%)	TS (%)	
Proposed	0.8	58.5	0.8	54.8	
PanTB [34]	0.7	38.8	0.8	34.5	
ESD [32]	0.3	34.7	0.4	29.8	
ESD+CBF+ECU [16], [29], [32]	1.9	52.7	1.3	44.8	
ShenTCSVT [19]	1.2	45.1	1.1	43.9	
ShenTMM [23]	2.2	32.6	1.8	31.2	
AhnTCSVT [17]	1.4	49.6	1.0	42.7	

we can observe that ShenTCSVT [19] achieves the minimum encoding time saving of 24.4% with a BDBR increase of 1.8%. For ShenTMM [23], its minimum encoding time saving is only 12.8% with a BDBR increase of 2.1%. AhnTCSVT [17] can reduce the encoding time of at least 26.6% with a BDBR increase of 1.1%. However, the proposed approach achieves the minimum encoding time saving of 44.1% with the BDBR increase of 1.1%. That is, compared with ShenTCSVT [19], ShenTMM [23] and AhnTCSVT [17], the proposed approach can save extra encoding time for about 13.4%, 25.9% and 8.9% with less BDBR increases, respectively. The great performance gain mainly benefits from the fact that compared with three existing approaches, the proposed approach achieves much better early SKIP mode decision for video sequences with complex motions. From Table VIII, the proposed approach also achieves superior performances over three existing approaches under LD B condition.

Table IX compares the performances among the proposed method, PanTB [34], ESD [32], ESD+CBF+ECU [16], [29], [32], ShenTCSVT [19], ShenTMM [23] and AhnTCSVT [17]

 TABLE X

 Characteristics of the Scene Change Sequences

Sequences	Resolution	Frame rate	Encoding frames
BasketballPass_BlowingBubbles	$416 \times 240$	50	960
PartyScene_BasketballDrill	$832 \times 480$	50	960
Johnny_FourPeople	$1280 \times 720$	60	1200
ParkScene_Kimono1	$1920 \times 1080$	24	480
Traffic_PeopleOnStreet	$2560\times 1600$	30	300

under LD B and RA configurations, respectively. Apparently, the proposed method achieves more encoding time reduction with negligible RD performance loss compared with these state-of-the-art algorithms.

Fig. 9 reports the results of the proposed approach under different QPs (22, 27, 32, 37) for two typical video sequences including ParkScene and BasketballPass. As the QP increases, it still achieves more encoding time saving than the HM encoder, and it has almost no bitrate increase and no PSNR degradation.

## *D. Performance of the Proposed Method for Video Sequences With Scene Change*

Video sequences with scene change are also tested. Table X summarizes five test video sequences, which are obtained by cascading two video sequences of different motion activities. For them, there is a scene change every 30 frames. For these five video sequences, the performance of the proposed method is reported in Table XI. From it, the proposed approach saves about 56% and 53% encoding time with only 0.7% and 0.6% BDBR increases under the RA and LD B configurations, respectively. Thus, the proposed method achieves desirable robustness



Fig. 9. Performance of *ParkScene* (1920 × 1080, 24 Hz) and *BasketballPass* (416 × 240, 50 Hz) under different QPs (22, 27, 32, 37). (a) RD curves of *ParkScene*. (b) Time saving of *ParkScene*. (c) RD curves of *BasketballPass*. (d) Time saving of *BasketballPass*.

TABLE XI PERFORMANCE OF THE PROPOSED METHOD FOR SEQUENCES WITH SCENES CHANGE

	RA	A	LD B		
Sequences	BDBR (%)	TS (%)	BDBR (%)	TS (%)	
BasketballPass_BlowingBubbles	0.7	50.7	0.6	48.3	
PartyScene_BasketballDrill	0.7	50.1	0.5	46.4	
Johnny_FourPeople	0.3	69.7	0.4	67.9	
ParkScene_Kimono1	0.8	55.8	0.7	51.4	
Traffic_PeopleOnStreet	0.8	53.7	0.6	51.8	
Average	0.7	56.0	0.6	53.2	

because it also effectively reduces the total encoding time with negligible RD degradation.

### V. CONCLUSION

This paper proposed an effective early SKIP mode decision approach for HEVC. The CU depth correlation is firstly exploited to categorize the CUs in inter-frames into either rare-used or frequent-used. For the rare-used CU size, the SKIP mode is directly selected as the optimal mode. For the frequent-used CU size, USM, which expresses the relationship between the SKIP mode and other modes, is established for early SKIP mode decision. Experimental results show that the proposed algorithm reduces 58.5% and 54.8% encoding time saving with 0.8% and 0.8% BDBR increase on average under RA and LD conditions, respectively.

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